Office of the Revisor of Statutes
Administrative Rules

TITLE: Adopted Permanent Rules Relating to Horse Racing

AGENCY: Minnesota Racing Commission

REVISOR ID: R-4887

MINNESOTA RULES: Chapters 7869, 7875, 7877, 7883, 7884, 7890, 7891, 7892, 7895, and 7897

INCORPORATIONS BY REFERENCE:

Part 7869.0200, subpart 2a: The Horseracing Integrity and Safety Authority (HISA) Rule Series 1000 through 9000 are incorporated by reference for Thoroughbred racing. These rules are subject to change and are available to the public free of charge in the regulations section of the HISA website (https://hisaus.org/regulations).

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filing with the Secretary of State

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Minnesota Racing Commission

Adopted Permanent Rules Relating to Horse Racing

7869.0100 DEFINITIONS.

[For text of subparts 1 to 31e, see Minnesota Rules]

Subp. 31f. [Renumbered subp 31h]

Subp. 31g. **HISA-covered person.** "HISA-covered person" means all trainers, owners, breeders, jockeys, racetracks, veterinarians, persons licensed by a state racing commission and the agents, assistants, and employees of such persons; any other persons required to be registered with the authority; and any other horse support personnel who are engaged in the care, treatment, training, or racing of a HISA-covered horse.

Subp. 31h. **Horseracing Integrity and Welfare Unit.** "Horseracing Integrity and Welfare Unit" is a division of HISA established to provide independent antidoping and medication control.

[For text of subparts 32 to 50, see Minnesota Rules]

Subp. 50a. **Qualifying line.** A "qualifying line" means a comprehensive account of a qualifying race showing the positions of all horses at various stages of the qualifying race.

[For text of subparts 51 and 51a, see Minnesota Rules]

Subp. 51b. **Race line.** A "race line" means a comprehensive account of a race showing the positions of all horses at various stages of a race.

[For text of subparts 52 to 69, see Minnesota Rules]

7869.0200 INCORPORATION BY REFERENCE.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Association of Racing Commissioners International.**

A. For the purposes of chapters 7869 to 7899, the Association of Racing Commissioners International (ARCI) Endogenous, Dietary, or Environmental Substances Schedule is incorporated by reference for Standardbred and Quarter Horse racing. The ARCI Endogenous, Dietary, or Environmental Substances Schedule is subject to change and is available to the public free of charge at the State Law Library and on the Minnesota Racing Commission website.

B. For the purposes of chapters 7869 to 7899, and except as otherwise specifically provided therein, the Association of Racing Commissioners International (ARCI) Controlled Therapeutic Medication Schedule for Horses is incorporated by reference for Standardbred and Quarter Horse racing. The ARCI Controlled Therapeutic Medication Schedule for Horses is subject to change and is available to the public free of charge at the State Law Library and on the Minnesota Racing Commission website.

C. For the purposes of chapters 7869 to 7899, and except as otherwise specifically provided therein, the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances and Recommended Penalties Model Rule is incorporated by reference for Standardbred and Quarter Horse racing. This model rule is subject to change and is available to the public free of charge at the State Law Library and on the Minnesota Racing Commission website.

D. For the purposes of chapters 7869 to 7899, and except as otherwise specifically provided therein, the Association of Racing Commissioners International (ARCI) Multiple Medication Violation Model Rule is incorporated by reference for Standardbred and Quarter Horse racing. This model rule is subject to change and is available to the public free of charge at the State Law Library and on the Minnesota Racing Commission website.

Subp. 2a. **Horseracing Integrity and Safety Authority.** For the purposes of chapters 7869 to 7899, the Horseracing Integrity and Safety Authority (HISA) Rule Series 1000

through 9000 are incorporated by reference for Thoroughbred racing. These rules are subject to change and are available to the public free of charge in the regulations section of the HISA website (https://hisaus.org/regulations).

[For text of subpart 3, see Minnesota Rules]

7875.0100 FACILITIES.

Subpart 1. **Facilities.** Each association must include a receiving barn, detention facility, equine isolation facility, paddock, room for jockeys and drivers, lighting, stabling, restrooms, medical facilities, racing officials' space, viewing room, commission office and parking space, space for the Alcohol and Gambling Enforcement Division, and information window. The facilities must meet the needs of patrons, officials, horsepersons, other persons on the premises, and horses. The facilities must be in compliance with the requirements of the ADA.

[For text of subparts 2 to 7, see Minnesota Rules]

7877.0130 STANDARDS REQUIRED OF APPLICANTS FOR SPECIFIC LICENSES.

[For text of subpart 1, see Minnesota Rules]

Subp. 1a. **Timely licensure.** The owner of a horse entered to race at a Minnesota racetrack must be licensed no later than the posted scratch time on the day the horse is entered to race. If the owner is not licensed by the posted scratch time, the stewards must scratch the owner's horse from the race.

[For text of subpart 2, see Minnesota Rules]

Subp. 3. **Trainers and assistant trainers.** Applicants for a trainer's or assistant trainer's license must comply with the following requirements.

[For text of item A, see Minnesota Rules]

B. Any person applying for the first time in Minnesota for a Thoroughbred or Quarter Horse trainer's or assistant trainer's license shall submit to the examination required of prospective trainers and assistant trainers under subpart 4, items B and C, unless the applicant has been licensed as a trainer or assistant trainer in another racing jurisdiction and meets the criteria in part 7877.0100, subpart 2.

C. Any person applying for the first time in Minnesota for a harness horse trainer's or assistant trainer's license must satisfy the USTA requirements for licensure incorporated by reference under part 7869.0200, subpart 1.

D. An applicant for a trainer's license shall have at least one horse to train which is eligible to race in Minnesota. An applicant for an assistant trainer's license shall be employed by a licensed trainer with at least six horses in his or her stable at the time of filing the assistant trainer's application.

E. An applicant for a trainer's license shall comply with the workers' compensation laws and maintain all applicable policies and requirements under Minnesota Statutes, chapter 176, and all pertinent rules adopted thereunder. The Minnesota Racing Commission shall be named as a certificate holder with the insurance company providing the workers' compensation coverage. The commission, as a certificate holder, must be notified of any changes in the policy at the same time as the policy holder or by the earliest date permitted under the policy and applicable law.

F. Beginning June 1, 2022, in order to be eligible for a subsequent license, trainers and assistant trainers must complete at least four hours per calendar year of continuing education. The courses must be approved by a commission veterinarian or safety coordinator.

Subp. 4. **Prospective trainers and assistant trainers.** An applicant who has never been licensed by the commission or by another racing jurisdiction as a trainer or an assistant trainer for Thoroughbreds or Quarter Horses must have at least two years' experience in an occupation that indicates a knowledge of horsemanship and racing practices.

[For text of item A, see Minnesota Rules]

B. For Thoroughbred and Quarter Horse racing the applicant must pass a written examination administered by the stewards, or their designee, covering such subjects as rules of racing, care and handling of horses, and proper use of racing equipment.

C. For Thoroughbred and Quarter Horse racing the applicant must pass a practical test of horsemanship administered by the stewards or their designee.

D. For harness horse racing the prospective applicant must satisfy all the USTA requirements incorporated by reference under part 7869.0200, subpart 1.

[For text of subparts 5 to 17, see Minnesota Rules]

7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Trainers.** Trainers shall have the following responsibilities.

[For text of items A to G, see Minnesota Rules]

H. A trainer may use only veterinarians licensed by the commission to tend horses in the trainer's care that are entered to race or at any time that the horses are on the grounds of an association. A trainer may use a veterinarian not licensed by the commission as a consultant if agreed and approved in advance by a commission veterinarian and the nonlicensed veterinarian is escorted by a commission veterinarian while on the grounds of the association.

[For text of items I to M, see Minnesota Rules]

N. A trainer is responsible for notifying the racing secretary of any circumstances that would necessitate changing a horse's registration or eligibility. This includes notifying the racing secretary and a commission veterinarian when a horse is pregnant and immediately

reporting the alteration of the sex of a horse to the horse identifier, the racing secretary, and a commission veterinarian.

[For text of items O to R, see Minnesota Rules]

S. For Quarter Horses and Thoroughbreds, trainers licensed as owners must train all horses owned wholly or in part by them.

[For text of items T and U, see Minnesota Rules]

V. For Thoroughbreds, trainer treatment records are transferred to the new trainer under HISA rules, which are incorporated by reference in part 7869.0200, subpart 2a.

W. For Quarter Horses and Standardbreds, the trainer of a claimed horse shall, within 72 hours after the race is made official, provide to the commission veterinarian the complete written record, as specified in item U, of all treatments, medications, and intra-articular injections that were administered to the horse within the 30 days preceding the race. In the case of a horse that has been in the trainer's control for less than 30 days, the trainer shall provide a record going back as long as the horse has been in the trainer's control. The trainer of the claimed horse shall authorize the commission veterinarian to provide the record to the new trainer.

X. A trainer accepting a horse from another trainer must notify the stewards in writing within 24 hours that such a transfer has been made.

Y. A Standardbred trainer listed as the specified trainer for a horse must have the horse stabled on racetrack grounds under the trainer's custody, care, and control. The listed Standardbred trainer is responsible for entering the horse in a race. Stabling of any horse off the racetrack grounds must be approved in advance by the stewards and that horse must be listed as "ship in."

[For text of subparts 2a to 9c, see Minnesota Rules]

Subp. 10. **Pony riders.** The following applies to pony riders licensed by the commission:

[For text of items A to E, see Minnesota Rules]

F. A pony rider wishing to act as a lead pony during the races is required to be in proper attire and represent him or herself in a clean and tidy manner. Proper attire will be determined by the association.

[For text of subpart 11, see Minnesota Rules]

7877.0175 DUTIES AND RESPONSIBILITIES OF RACING OFFICIALS.

Subpart 1. **Racing secretary.** The racing secretary shall have the responsibilities in items A to I.

[For text of items A and B, see Minnesota Rules]

C. The racing secretary shall be responsible for publication of the official daily program, if the association does not employ a program director and provide the stewards with a complete daily program.

[For text of items D to I, see Minnesota Rules]

[For text of subparts 2 and 3, see Minnesota Rules]

Subp. 4. **Paddock judge; Thoroughbred and Quarter Horse races.** The paddock judge shall have the responsibilities in items A to L.

[For text of items A to F, see Minnesota Rules]

G. The paddock judge shall inspect the bandages worn by all horses arriving in the paddock and may order the bandages removed or replaced if he or she has reason to believe that a violation of statute or rule has occurred, is occurring, or will occur.

[For text of subitem (1), see Minnesota Rules]

(2) Paddock boots and all bandages, except those bandages that will be worn during a race, must be removed immediately upon arrival in the paddock so that a satisfactory examination may be assured.

[For text of items H to L, see Minnesota Rules]

[For text of subparts 4a to 6, see Minnesota Rules]

Subp. 7. **Claims clerk (Thoroughbred, Quarter Horse, and Arabian only).** The claims clerk shall ensure that the claim slip for a horse is deposited in the claim box in accordance with part 7883.0140, subpart 2.

The claims clerk shall open the claim box, search for claim envelopes according to designated race numbers, open any envelopes found, and examine the claim slip inside no sooner than 15 minutes before post time for each race.

The claims clerk shall ascertain whether:

[For text of items A and B, see Minnesota Rules]

C. the claimant has the amount of the claim, plus any applicable tax or fee, to the claimant's credit; and

[For text of item D, see Minnesota Rules]

[For text of subparts 8 and 8a, see Minnesota Rules]

Subp. 8b. **Veterinarian's list.**

[For text of items A and B, see Minnesota Rules]

C. All workouts required by a commission veterinarian for the purpose of potentially removing a horse from the veterinarian's list must be conducted under the same medication requirements as those for race days. Horses requiring an official timed workout must have documentation from the attending veterinarian that the horse is sound to work

as provided in part 7877.0170, subpart 9, item N, have a published workout observed by a commission veterinarian, pass a post-workout assessment of racing condition by a commission veterinarian, and complete medical testing under chapter 7892.

[For text of items D and E, see Minnesota Rules]

[For text of subparts 8c to 15, see Minnesota Rules]

7883.0100 ENTRIES AND SUBSCRIPTIONS.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Horse must be registered and eligible.** No horse shall be permitted to start unless:

A. it is duly registered with and approved by the registry office of The Jockey Club, American Quarter Horse Association, Arabian Horse Registry of America, Inc., or another nationally recognized breed registry. All Thoroughbred horses must also be registered with HISA as provided by HISA Rule 9000h;

B. its registration certificate and Arabian ID Supplement or any supplement relative to other breeds as required showing the lip tattoo number or microchip number of the horse is physically or electronically filed with the racing secretary by scratch time for that race. In stakes races only, a horse shall be allowed to start without the registration certificate on file, if a photocopy or telefacsimile copy of both sides of the foal certificate is on file with the racing secretary. This copy must have been forwarded to the secretary along with a photocopy or a telefacsimile copy of the horse's equine infectious anemia certificate;

[For text of items C to G, see Minnesota Rules]

Subp. 2a. **Prohibited starters.** No horse shall be permitted to start if:

[For text of items A and B, see Minnesota Rules]

C. it is on the starter's list or paddock judge's list in any racing jurisdiction and not removed from that list by the other jurisdiction or racing official in Minnesota;

D. it has raced in a qualifying trial for a future race and has received a positive test for any medication. In that instance, the next fastest qualifying horse shall be allowed to enter; or

E. it is a Thoroughbred or Quarter Horse that has raced more than three times in 30 consecutive days.

[For text of subpart 3, see Minnesota Rules]

Subp. 4. **Entering procedure.** Nominations and entries shall be made in writing and signed by the owner or trainer of the horse, or the owner's authorized agent. Each association shall provide forms on which entries, scratches, and declarations are to be made for all races.

[For text of items A to D, see Minnesota Rules]

E. A jockey must be named on a specific horse at the time of entry.

F. A jockey may only be named on one horse in the body of the race and one also-eligible, Main Track Only, or both.

~~G. All jockeys not represented or present at the draw must call the racing secretary by 9:00 a.m. the following day and declare their intent to honor their designated engagements.~~

[For text of subparts 5 to 15a, see Minnesota Rules]

Subp. 16. **Workout requirements.** In order to be eligible:

[For text of item A, see Minnesota Rules]

B. A Quarter Horse that has not started for a period of 46 days or more is not eligible to start until it has completed one timed workout within 45 days of and no less than 48 hours prior to the race in which it is entered. A Quarter Horse that has not started for a

period of 61 days or more is not eligible to start until it has completed two timed workouts within 60 days of and no less than 48 hours before the race in which it is entered. Any workout following the entry of a horse must appear on the official or daily racing program or must be posted for the public.

[For text of items C to I, see Minnesota Rules]

J. A Quarter Horse that has not previously started at a distance of 870 yards is not eligible to start in a race scheduled for 870 yards until it has completed one timed workout at a distance of 660 yards or more within 60 days of and no less than 48 hours before the race in which it is entered.

[For text of subparts 17 to 19, see Minnesota Rules]

Subp. 20. **Quarter Horses must stand in the gate.** Each Quarter Horse entered in a stakes race must stand in the starting gate either the day before or the day of the race in which the horse is entered.

7883.0130 PENALTIES AND ALLOWANCES.

[For text of subparts 1 to 3, see Minnesota Rules]

Subp. 4. **Sex allowance.**

A. In all races against male horses, except handicaps and races where the conditions expressly state to the contrary, Thoroughbred fillies two years old are allowed three pounds and Thoroughbred fillies and mares three years old and upward are allowed five pounds before September 1 and three pounds thereafter. The sex allowance may not be waived.

B. There is no sex allowance in races for Quarter Horse fillies and mares.

7883.0140 CLAIMING RACES.

[For text of subparts 1 to 7, see Minnesota Rules]

Subp. 8. **Voided claims.** If a claim is voided by the stewards, the horse claimed shall be returned to the original owner who, in turn, shall refund all claim money to the unsuccessful claimant.

The stewards shall void a claim if:

A. the horse dies or is euthanized within one hour of racing;

B. the horse is a Quarter Horse and is placed on the veterinarian's list for exhibiting clinical signs of any of the following within one hour of racing: a musculoskeletal injury, lameness, or unsoundness of heart or lung. However, the claim shall not be voided for this reason if the claimant elected to claim the horse regardless of whether the horse is placed on the veterinarian's list. An election made under this provision shall be made on the claim form. For purposes of this subpart, "unsoundness of heart" means atrial fibrillation or cardiac arrhythmias, and "unsoundness of lung" means recurrent airway obstructive pulmonary disease or bleeding from one or both nostrils; or

C. the horse is a Thoroughbred and is placed on the veterinarian's list within one hour of racing for unsoundness or bleeding. However, the claim shall not be voided for this reason if the claimant elected to claim the horse regardless of whether the horse is placed on the veterinarian's list. An election made under this provision shall be made on the claim form.

[For text of subparts 9 to 14, see Minnesota Rules]

Subp. 15. **Protest of claim for Quarter Horses.**

[For text of items A to D, see Minnesota Rules]

Subp. 15a. **Protest of claim for Thoroughbreds.** Claims protested for Thoroughbred horses are controlled by HISA rules, which are incorporated by reference in part 7869.0200, subpart 2a.

[For text of subparts 16 to 32, see Minnesota Rules]

7883.0150 PADDOCK TO POST.

[For text of subparts 1 to 13, see Minnesota Rules]

Subp. 14. **Flipping halters.**

A. Any horse entered to race with a flipping halter must arrive in the paddock with the halter in place under the bridle. For purposes of this subpart, a "flipping halter" means a device used to prevent a horse from rearing up inside the starting gate.

B. There shall be no refund of wagering because a flipping halter prevents the starting gate from opening properly.

7883.0160 POST TO FINISH.

Subpart 1. **Thoroughbreds and Quarter Horses must be tattooed physically or be microchipped and tattooed digitally.** No Thoroughbred or Quarter Horse shall be permitted to start unless it has been tattooed physically or has a microchip and been tattooed digitally and fully identified.

[For text of subparts 2 to 14, see Minnesota Rules]

7883.0170 RACING EQUIPMENT.

A. Equipment.

[For text of subitems (1) and (2), see Minnesota Rules]

(3) Horseshoes are subject to the following specifications:

(a) For Thoroughbreds, toe grabs, other than wear plates with a height no greater than two millimeters (0.07874 inches), bends, jar caulks, stickers, and any other traction device worn on the front shoes of Thoroughbred horses while racing or training on all racing surfaces are prohibited.

(b) For Quarter Horses, toe grabs, other than wear plates with a height no greater than four millimeters (0.15748 inches), bends, jars, caulks, stickers, or any other traction device worn on the front shoes are prohibited. Toe grabs greater than 6.35 millimeters (0.25 inches) from the ground surface side of the hind shoes are prohibited.

[For text of item B, see Minnesota Rules]

7884.0120 ELIGIBILITY AND ENTERING.

[For text of subparts 1 to 15, see Minnesota Rules]

Subp. 16. **Entered horse to be on grounds.** All entered horses must be present on the grounds of the licensed racetrack before the race, at a time prescribed by the stewards, that is at least five hours before the first race of the day. Horses participating in nonpurse races must be present two hours prior to post time for the first nonpurse race.

[For text of subparts 17 and 18, see Minnesota Rules]

7884.0130 PREFERENCE SYSTEM.

Subpart 1. [See repealer.]

Subp. 1a. **Preference date.** Preference dates shall be given to horses in all overnight events at extended pari-mutuel tracks in accordance with the following:

A. The date of the horse's last previous start in a purse race during the current year is the horse's preference date with the following exceptions:

(1) The preference date on a horse that has drawn to race and has been scratched is the date of the race from which scratched.

(2) When a horse is racing for the first time in the current year, the date of a successful qualifying attempt shall be considered the horse's preference date.

(3) Wherever horses have equal preference in a race, the actual preference of said horses in relation to one another shall be determined from the most recent previous starts that do not result in equal preference.

(4) When an overnight race has been reopened because it did not fill, all eligible horses declared into the race prior to the reopening shall receive preference over other horses subsequently declared irrespective of the actual preference dates.

B. When there is more than one division in any race, horses will be split by owners, then by trainers for each division.

C. Items A and B are not applicable at any meeting at which an agricultural fair is in progress. All horses granted stalls and eligible must be given an opportunity to compete at these meetings.

D. Nothing in this subpart shall preclude an extended pari-mutuel track member from adopting a racetrack rule limiting an owner or trainer to one starter in any single overnight event.

[For text of subparts 2 and 3, see Minnesota Rules]

7884.0190 QUALIFYING RACES.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Horses required to compete in qualifying races for race meets longer than two weeks.** If the meet extends longer than two weeks, the following horses shall not be eligible to enter any race until they have competed in qualifying races:

[For text of items A and B, see Minnesota Rules]

C. A horse that does not show a clean charted qualifying line or race line within 44 days. On day 45 the horse is ineligible.

[For text of items D to F, see Minnesota Rules]

Subp. 2a. **Horses required to compete in qualifying races regardless of duration of meet.** The following horses shall not be eligible to enter any race until they have competed in qualifying races:

A. A horse that is on the qualifying list.

B. A horse that chokes, bleeds, or falls in the paddock or while going to the track, exiting the track, warming up, or racing.

[For text of item C, see Minnesota Rules]

[For text of subparts 3 to 8, see Minnesota Rules]

7884.0250 RECALLS.

[For text of subparts 1 to 3, see Minnesota Rules]

Subp. 4. **Inquiry into failure to sound recall.**

A. If the starter fails to sound a recall when required, the stewards shall immediately cause the "Inquiry" sign to be displayed. If the stewards determine a horse was interfered with before the word "go" was given, wagers on that horse shall be refunded and the horse shall be declared racing for purse money only.

B. When interference occurs before the start of a race and no recall is sounded by the starter, the stewards must make placings in the same manner used for interference during any part of the race.

7884.0260 DRIVING RULES.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Conduct after word "go" is given.** After the word "go" is given, no driver shall:

[For text of items A to S, see Minnesota Rules]

T. use a whip exceeding 36 inches in length, including a built-in popper no longer than three inches in length, or use unreasonable or unnecessary force in the whipping of a horse, nor whip any horse causing visible injury, nor whip any horse about the head including but not limited to trailing horses, nor whip any horse after the finish line has been crossed except when it has been deemed by the board of stewards necessary to control the horse. The board of stewards must notify a commission veterinarian to conduct any postrace examination on any horse deemed to have been subject to unreasonable or unnecessary force. The following actions shall be considered indiscriminate, unreasonable, or unnecessary uses of the whip:

[For text of subitems (1) to (4), see Minnesota Rules]

(5) any whipping other than by wrist action only or where the whipping arm is raised above the driver's shoulder height;

[For text of items U to X, see Minnesota Rules]

[For text of subparts 3 to 12, see Minnesota Rules]

7890.0100 DEFINITIONS.

[For text of subparts 1 to 13, see Minnesota Rules]

Subp. 13a. **Medication.** "Medication" is a substance, compound, or element, or combination thereof, which is or can be administered to a horse for the purpose of preventing, curing, or alleviating the effects of any disease, condition, ailment, or infirmity, or symptom thereof, or for altering in any way the behavior, attitude, temperament, or performance of a horse, including athletic performance. Medication includes all alkalinizing agents, analgesics, anesthetics, depressants, narcotics, stimulants, tranquilizers, and other classifications of medications. Nothing herein shall be deemed to include:

[For text of items A and B, see Minnesota Rules]

C. Topical applications, such as antiseptics, ointments, salves, leg rubs, and leg paints, that do not contain antibiotics, ethanol, DMSO, corticosteroids, topical anesthetics including benzocaine and lidocaine, or other medications.

[For text of item D, see Minnesota Rules]

[For text of subparts 13b to 14c, see Minnesota Rules]

Subp. 14d. **Out-of-competition testing.** "Out-of-competition testing" is the taking of blood, urine, hair, or another biological sample from a horse at any time other than race day.

[For text of subparts 14e to 21, see Minnesota Rules]

7890.0110 MEDICATIONS AND PRACTICES PROHIBITED.

Subpart 1. **Administration.** No person shall administer or cause to be administered to a horse within 48 hours of a race in which it is scheduled to run any medication (except as permitted by part 7890.0100, subpart 13a, items B to D) by injection, oral or topical administration, rectal infusion or suppository, or by inhalation and no horse participating in a race shall carry in its body any substance foreign to the natural horse, except as permitted by subparts 7, item C, 7a, 9, and 10 and part 7890.0100, subpart 13a, items A to D. Post-race samples of plasma, serum, hair, or urine must not contain any substances, drugs, medications, or metabolites of substances, drugs, or medications not specifically permitted by commission rule or law.

[For text of subparts 2 to 8, see Minnesota Rules]

Subp. 8a. **Intra-articular injections.**

[For text of item A, see Minnesota Rules]

B. For Thoroughbreds, the use of a corticosteroid injection in the fetlock joint is prohibited within 30 days of the race in which the horse is entered.

C. For Standardbreds, the use of any intra-articular injection within seven days of the race in which the horse is entered is prohibited.

[For text of subparts 9 to 13, see Minnesota Rules]

7890.0120 REPORTING PROCEDURES.

Subpart 1. **Veterinarians must keep records.**

A. For Thoroughbred horses, veterinarians must report all treatments and diagnostic procedures electronically to HISA within 24 hours of treatment.

B. For Quarter Horses and Standardbreds, veterinarians must submit daily to the commission veterinarian, in writing or electronically on a prescribed form, a report of all horses treated at a licensed racetrack. The form shall contain the date and time, name of horse treated, trainer of horse, any medications, drugs, substances (as provided in part 7890.0100, subpart 13a, items A to D), or procedures prescribed, administered, dispensed, or performed for horses registered at a current race meeting, and any other information requested by the commission veterinarian. The form must be filed by the treating veterinarian not later than noon on the day following treatment. The form shall be signed by hand or digitally by the treating veterinarian. The form is considered private and its content shall not be disclosed except in the course of an investigation of a possible violation of chapters 7869 to 7899, or in a proceeding before the stewards or commission, or to the trainer or owner of record at the time of treatment. A timely and accurate filing of the form that is consistent with the analytical results of a positive test may be used as a mitigating factor in determining the nature and extent, if any, of a rules violation.

[For text of subparts 1a to 3, see Minnesota Rules]

7890.0160 RESPONSIBILITY OF VETERINARIAN.

A. No veterinarian may administer a medication, alkalinizing agent, blood doping agent, venom, or substance foreign to the natural horse to any horse that is scheduled to

race within 48 hours, except as permitted in part 7890.0100, subpart 13a, items B, C, and D, or in the case of a medical emergency requiring immediate treatment, without the prior permission of a commission veterinarian.

[For text of items B to E, see Minnesota Rules]

7891.0100 RACING SOUNDNESS EXAMINATION.

Subpart 1. **Horses subject to examination.**

A. For Thoroughbreds and Quarter Horses:

[For text of subitems (1) to (6), see Minnesota Rules]

(7) Prerace examination findings must be documented in the InCompass Solutions Track Manager electronic prerace examination module.

[For text of item B, see Minnesota Rules]

[For text of subparts 1a to 2, see Minnesota Rules]

7891.0110 POSTMORTEM EXAMINATION.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. **Test samples to be taken for analysis.**

A. Test samples must be obtained from every horse that dies or is euthanized from a training or race-related injury.

(1) For Thoroughbreds, the samples are collected according to HISA rules under part 7892.0105 and submitted to Horseracing Integrity and Welfare Unit.

(2) For Quarter Horses and Standardbreds, the samples shall be sent for analysis to the official testing laboratory under part 7892.0130 and the commission may direct the laboratory to retain and preserve such samples for future analysis.

B. Hair samples shall be obtained prior to or after euthanasia. When practical, both blood and urine test samples shall be obtained prior to euthanasia.

[For text of subpart 3, see Minnesota Rules]

Subp. 4. **Report of injury or death.**

A. A report of each deceased horse shall be filed with the commission within 72 hours of the horse's death on a form prepared by the commission.

B. For Thoroughbreds, notification of death must be submitted to HISA within 24 hours.

C. For Thoroughbreds, an injury requiring transportation by an ambulance must be reported to HISA within 24 hours. For Thoroughbreds, other injuries not requiring transportation by ambulance must be submitted to HISA within one week.

Subp. 5 **Postmortem reports.**

A. For Thoroughbreds, initial and updated postmortem reports must be submitted to HISA within 72 hours of receipt.

B. For Thoroughbreds and Quarter Horses, information obtained from each specific post-mortem report is documented in the Jockey Club's equine injury database.

C. Final postmortem reports are provided to the trainer and trainer's veterinarian and reviewed by the Mortality Review Committee.

7892.0105 MEDICAL TESTING FOR THOROUGHBRED RACEHORSES.

All medical testing for Thoroughbred racehorses is performed under HISA Rule Series 3000 (Equine Anti-Doping and Controlled Medication Protocol Rules), Rule Series 4000 (Prohibited List), Rule Series 5000 (Equine Standards for Testing and Investigation), and Rule Series 6000 (Equine Standards for Laboratories and Accreditation). These rules are incorporated by reference in part 7869.0200, subpart 2a.

7895.0300 QUARTER HORSE BREEDERS' FUND.

[For text of subparts 1 and 2, see Minnesota Rules]

Subp. 3. **Distribution of money.** The award money available from the Quarter Horse breeders' fund shall be distributed as follows:

A. "Breeders' awards" shall be paid to the breeder at the time of foaling of a Minnesota-bred horse as registered with the Racing Commission, that earns purse earnings in any North American pari-mutuel race. The amount of award shall be a percentage of the total amount available for all awards. Purse earnings earned in any North American race that is conducted outside of Minnesota on or after the first scheduled Minnesota Quarter Horse live race and on or before the last scheduled Minnesota Quarter Horse live race shall not count toward qualified earnings. A horse's earnings in any single race must not be worth more than the winner's share of the largest purse offered during the Minnesota Quarter Horse racing meeting.

[For text of item B, see Minnesota Rules]

[For text of subparts 4 to 7, see Minnesota Rules]

7897.0100 PROHIBITED ACTS.

[For text of subparts 1 to 14, see Minnesota Rules]

Subp. 15. **Removing a horse without permission.**

A. For Thoroughbreds and Quarter Horses, no person shall remove from the stable area of a licensed racetrack any horse without the written permission of the racing secretary or the stewards.

B. For Standardbreds, no person shall remove from the stable area of a licensed racetrack any horse that is required to be on association grounds by 9:00 a.m. the day before they race without written permission of the stewards.

[For text of subpart 16, see Minnesota Rules]

Subp. 17. **Hypodermic equipment and injectable substances prohibited.** The following shall apply to the possession of hypodermic equipment and injectable substances while on the grounds of an association:

[For text of item A, see Minnesota Rules]

B. Notwithstanding item A, any person may have in his or her possession within a restricted area of a licensed racetrack:

(1) a chemical or biological substance for his or her own personal use; provided, that if such chemical substance is prohibited from being dispensed by any federal or state law without prescription, he or she possesses documentary evidence that a valid prescription for such substance has been issued to him or her;

(2) a hypodermic syringe or needle for the purpose of administering a prescribed chemical or biological substance to himself or herself, provided that he or she has notified the stewards of the possession of such device, the size of such device, and the chemical substance to be administered by the device;

(3) a disposable syringe intended for oral use where the tip of the syringe makes it incapable of attaching a needle; or

(4) a disposable syringe 60 cc or greater, designed and intended for topical use where the tip of the syringe makes it incapable of attaching a needle.

[For text of subparts 18 to 24, see Minnesota Rules]

7897.0110 USE OF DRUGS AND ALCOHOL.

Subpart 1. **Drugs.** The commission, the commission's investigative staff, or the stewards may, at any time, require any licensee having direct physical contact with horses or direct responsibility for some portion of the day's racing program, or whose racing duties

place him or her in a position of danger, or who commits an act that endangers a horse or human, or exhibits suspected impaired behavior, to provide one of the following for analysis: blood, breath, saliva, or urine samples. The type or types of sample to be provided shall be determined by the investigator, the board of stewards, or both, after consideration of the circumstances involved and the alleged substance involved. A second specimen may be required for confirmation or quantification. This specimen must be analyzed at an independent laboratory selected by the commission. Failure to comply with this requirement shall be a serious violation under part 7897.0130.

Should any licensee other than a racing official, jockey, apprentice jockey, assistant starter, or driver be found to have levels of any nonprescription, prohibited, or illegal drug, or prescription medication at a concentration associated with the dose greater than that which has been prescribed, or an alcohol concentration greater than 0.04 percent, the licensee shall be subject to disciplinary action by the stewards and the commission. For purposes of this part, "alcohol concentration" means:

[For text of items A and B, see Minnesota Rules]

C. the number of grams of alcohol per 67 milliliters of urine.

Should a licensee who is a racing official, jockey, apprentice jockey, assistant starter, or driver be found to have any level of any nonprescription, prohibited, or illegal drug, or alcohol, or prescription medication at a concentration associated with the dose greater than that which has been prescribed by a medical professional for that individual, on the day of competition, the licensee must not be allowed to participate in the competition and shall be subject to disciplinary action by the stewards and the commission.

Subp. 2. [Repealed, 15 SR 2307]

REPEALER. Minnesota Rules, parts 7884.0130, subpart 1; and 7884.0180, are repealed.

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