

# Office of the Revisor of Statutes

## Administrative Rules



**TITLE:** Adopted Permanent Rules Relating to Nursing Homes  
Payment Rate Determinations

**AGENCY:** Department of Human Services

**MINNESOTA RULES:** Chapter 9549

STATE OF MINNESOTA  
DEPARTMENT OF STATE  
FILED

MAY 19 1994

*Joan Anderson Howe*  
Secretary of State

3:10 PM  
PRB

APPROVED AS TO FORM AND LEGALITY

5-18 19 94

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The attached rules are approved for  
filing with the Secretary of State

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9402390

1 Department of Human Services

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3 Adopted Permanent Rules Relating to Nursing Homes Payment Rate  
4 Determinations

5

6 Rules as Adopted

7 9549.0051 DEFINITIONS.

8 [For text of subps 1 to 11, see M.R.]

9 Subp. 12. **Resident plan of care.** "Resident plan of care"  
10 for residents of nursing facilities means the comprehensive care  
11 plan as set forth in Code of Federal Regulations, title 42,  
12 section 483.20, paragraph (d), as amended through October 1,  
13 1992.

14 [For text of subps 13 and 14, see M.R.]

15 9549.0059 RESIDENT ASSESSMENT.

16 Subpart 1. **Assessment of nursing facility applicants and**  
17 **newly admitted residents.** Each nursing facility applicant or  
18 newly admitted resident must be assessed for the purpose of  
19 determining the applicant's or newly admitted resident's class.  
20 The assessment must be conducted according to the procedures in  
21 items A to I.

22 [For text of items A to G, see M.R.]

23 H. Except as provided in item D, each assessment  
24 completed under items A to G and a completed medical plan of  
25 care or interagency transfer form must be submitted to the  
26 Department of Health by the nursing facility as a request for  
27 classification within ten working days after admission or after  
28 the assessment, whichever is later.

29 [For text of item I, see M.R.]

30 Subp. 2. **Semiannual assessment by nursing facilities.**  
31 Semiannual assessments of residents by the nursing facility must  
32 be completed in accordance with items A to D.

33 [For text of item A, see M.R.]

34 B. A registered nurse shall assess each resident  
35 according to QA&R procedures established by the Department of

1 Health including physical observation of the resident, review of  
2 the medical plan of care, and review of the resident's plan of  
3 care, and shall record the assessment on the assessment form.  
4 The Physician's Statement of General Condition (item 10),  
5 Individual Dependencies (items 21 to 24 and 28), Medications  
6 (items 31 to 34), and Primary, Secondary, and Tertiary Diagnoses  
7 (on the back of the form) do not require completion. The  
8 registered nurse performing the assessment shall sign the  
9 assessment form on the day the assessment is completed.

10 [For text of items C and D, see M.R.]

11 Subp. 3. **Change in classification due to annual assessment**  
12 **by Department of Health.** Any change in resident class due to an  
13 annual assessment by the Department of Health's QA&R team will  
14 be effective as of the first day of the month following the date  
15 of completion of the Department of Health's assessments. QA&R  
16 shall not establish classifications for residents who experience  
17 an admission, transfer, hospital return, or discharge occurring  
18 during the QA&R team visit.

19 Subp. 4. **Assessment upon return to the nursing facility**  
20 **from a hospital.** Residents returning to a nursing facility  
21 after hospitalization must be assessed according to items A to D.

22 [For text of items A and B, see M.R.]

23 C. A registered nurse shall perform the assessment on  
24 each resident according to QA&R procedures established by the  
25 Department of Health, including physical observation of the  
26 resident, review of the medical plan of care, and review of the  
27 resident's plan of care, and shall record the assessment on the  
28 assessment form. The registered nurse who performs the  
29 assessment shall sign the assessment form. Within five working  
30 days of the completion of the assessment, the nursing facility  
31 must forward to the Department of Health a request for a  
32 classification for any resident assessed upon return to the  
33 nursing facility after a hospital admission. This request must  
34 include the assessment form and the resident's medical plan of  
35 care or interagency transfer form. Upon request, the nursing  
36 facility must furnish the Department of Health with additional

03/15/94

[REVISOR ] PJO/CS AR2376

1 information needed to determine a resident's classification.

2 [For text of item D, see M.R.]

3 [For text of subps 5 to 9, see M.R.]